

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1459 Alcandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-------------------------|----------------|----------------------|---------------------|------------------|
| 10/075,630 | 02/14/2002 | Steven T. Meyer | A-71256/HCH | 2960 |
| 7 | 590 11/16/2004 | EXAM | EXAMINER | |
| | | | MANUEL A | |
| Suite 3400 | | | | |
| Four Embarcadero Center | | | ART UNIT | PAPER NUMBER |
| San Francisco | CA 04111.4197 | | 2762 | |

DATE MAILED: 11/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | |
|---|--|------------------------------|--|--|--|--|
| | 10/075,630 | MEYER ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | Manuel Mendez | 3763 | | | | |
| The MAILING DATE of this communication app Period for Reply | The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | | |
| Status | | | | | | |
| 1)⊠ Responsive to communication(s) filed on <u>09 August 2004</u> . | | | | | | |
| 2a) ☐ This action is FINAL . 2b) ☑ This action is non-final. | | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | |
| 4)⊠ Claim(s) <u>1-31</u> is/are pending in the application. | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)⊠ Claim(s) <u>1-31</u> is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8) Claim(s) are subject to restriction and/o | 8) Claim(s) are subject to restriction and/or election requirement. | | | | | |
| Application Papers | | | | | | |
| 9)☐ The specification is objected to by the Examine | r. | | | | | |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| 11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: | | | | | | |
| 1.☐ Certified copies of the priority documents have been received. | | | | | | |
| 2. Certified copies of the priority documents have been received in Application No. | | | | | | |
| 3.☐ Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| | | | | | | |
| | | | | | | |
| Attachment(s) | | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4) Linterview Summary Paper No(s)/Mail Da | ate | | | | |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) Notice of Informal P | Patent Application (PTO-152) | | | | |
| Paper No(s)/Mail Date <u>08/30/2004</u> . 6) Uther: J.S. Patent and Trademark Office | | | | | | |

Application/Control Number: 10/075,630

Art Unit: 3763

DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Barath, Vigil, et al., Kaplan, et al., and in further view of Klein. The limitations disclosed in the pending claims are conventional in the art as evidenced by the teachings of the cited patents. More importantly, concerning the use of a cage with spaced apart rings, figures 20 and 30 of the Klein Patent clearly demonstrate the conventionality of this particular embodiment.

In response to applicants' arguments figures 20 and 30 show a balloon catheter having a flexible elongate element secured in fixed longitudinal positions about the proximal and distal extremities of the catheter shaft spaced longitudinally away from the outer surface of the balloon. Based on the teachings of Klein, it would not be unreasonable to suggest that a modification to the catheters disclosed by Barath, Vigil, et al., and Kaplan, et al., to include a flexible elongate element secured in fixed longitudinal positions about the proximal and distal extremities of the catheter shaft spaced longitudinally away from the outer surface of the balloon, would have been considered an obvious design choice.

Art Unit: 3763

Conclusion

Page 3

The above rejection includes a new reference that has not been presented before in the prosecution of this application. Accordingly, in order to provide applicant an opportunity to provide comments concerning said reference, this application is not a final rejection.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Manuel Mendez whose telephone number is 703-308-2221. The examiner can normally be reached on 0730-1800 hrs.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Brian Casler can be reached on 703-308-3552. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Primary Examiner

Art Unit 3763

MM